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| <b>OWNER:</b><br><b>DG TAXUD</b>                                                                                                                                                                                                                              | <b>ISSUE DATE:</b><br><b>26/10/2015</b> | <b>VERSION:</b><br><b>12.50</b> |
| <p><b>Taxation and Customs Union DG</b></p> <p><b>CUST-DEV3 Project</b></p> <p><b>SUBJECT:</b></p> <p><b>DDNIA FOR ICS Phase 1</b></p> <p><b>Appendix A2: Mandatory and Strongly Recommended<br/>Messages</b></p> <p><b>(CUST-DEV3-SC03 DDNIA_APP_A2)</b></p> |                                         |                                 |
| <p><b>FRAMEWORK CONTRACT TAXUD/2013/CC/124</b></p> <p><b>SPECIFIC CONTRACT 03</b></p>                                                                                                                                                                         |                                         |                                 |

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| <b>APPENDIX A2: MANDATORY AND STRONGLY RECOMMENDED MESSAGES</b> |                                     |

## **INTRODUCTION**

This appendix gives a summary of all exchanges, which are mandatory or strongly recommended for the NICA.

Please note that this Appendix is derived from Appendix A1.

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| <b>APPENDIX A2: MANDATORY AND STRONGLY RECOMMENDED MESSAGES</b> |                                     |

## MANDATORY MESSAGES

| IE                 | Name                                                                  | Reference | National Import Control Application |         |                    |    |         |     |            |     |         |
|--------------------|-----------------------------------------------------------------------|-----------|-------------------------------------|---------|--------------------|----|---------|-----|------------|-----|---------|
|                    |                                                                       |           | Send                                | Receive | Exchange Mechanism |    |         |     | EDI Format |     |         |
|                    |                                                                       |           |                                     |         | Paper              | MS | CCN/CSI | Web | EDIFACT    | XML | CCN/CSI |
| IE30               | Notification of customs offices modification to common domain         | C_COL_COM | M                                   |         |                    |    | M       | M   |            | M   |         |
| IE31               | Notification of customs offices modification to national domain       | C_COL_NAT |                                     | M       |                    |    | M       | M   |            | M   |         |
| IE32               | Notification of common reference data modification to national domain | C_REF_MOD |                                     | M       |                    |    | M       | M   |            | M   |         |
| IE70               | Notification of System Unavailability To CD                           | C_UNA_COM | M                                   |         |                    |    |         | M   |            | M   |         |
| IE71               | NOTIFICATION OF SYSTEM UNAVAILABILITY TO ND                           | C_UNA_NAT |                                     | M       |                    |    |         | M   |            | M   |         |
| IE302              | Declaration request Import                                            | C_IMP_REQ | M                                   | M       |                    |    | M       |     |            | M   |         |
| IE303              | Entry Summary Declaration response                                    | C_ENS_RSP | M                                   | M       |                    |    | M       |     |            | M   |         |
| IE304              | Entry Summary Declaration Amendment Acceptance                        | E_ENS_ACC | M                                   |         |                    |    |         |     |            | SR  |         |
| IE305              | Entry Summary Declaration Amendment rejection                         | E_ENS_ARJ | M                                   |         |                    |    |         |     |            | SR  |         |
| IE313              | Entry Summary Declaration amendment                                   | E_ENS_AMD |                                     | M       |                    |    |         |     |            | SR  |         |
| IE315              | Entry Summary Declaration                                             | E_ENS_DAT |                                     | M       |                    |    |         |     |            | SR  |         |
| IE316              | Entry Summary Declaration rejected                                    | E_ENS_REJ | M                                   |         |                    |    |         |     |            | SR  |         |
| IE319              | Transmission to Subsequent office of entry                            | C_ENS_SUB | M                                   | M       |                    |    | M       |     |            | M   |         |
| IE323              | Diversion request Import                                              | E_DIV_REQ |                                     | M       |                    |    |         |     |            | SR  |         |
| IE324              | Diversion request Rejected                                            | E_DIV_REJ | M                                   |         |                    |    |         |     |            | SR  |         |
| IE325              | Diversion request Acknowledgement                                     | E_DIV_ACK | M                                   |         |                    |    |         |     |            | SR  |         |
| IE328 <sup>1</sup> | Entry Summary Declaration Acknowledgement                             | E_ENS_ACK | M                                   |         |                    |    |         |     |            | SR  |         |
| IE351              | Advanced Intervention notification                                    | E_AIV_NOT | M                                   |         |                    |    |         |     |            | SR  |         |
| IE411              | Sending of Statistics Data                                            | C_STA_SND | M                                   |         |                    |    | M       | M   |            | M   |         |
| IE412              | Statistics Generated sent to National Domain                          | C_STA_GEN |                                     | M       |                    |    |         | M   |            | M   |         |
| IE906              | Functional Nack                                                       | C_FUN_NCK | M                                   | M       |                    |    | M       |     |            | M   |         |
| IE908              | CCN/CSI Confirm On Delivery Acknowledgement                           | C_COD_ACK | M                                   | M       |                    |    | M       |     |            |     | M       |
| IE909              | CCN/CSI Confirm On Arrival Acknowledgement                            | C_COA_ACK | M                                   | M       |                    |    | M       |     |            |     | M       |
| IE910              | CCN/CSI Expiration notification                                       | C_EXP_NOT | M                                   | M       |                    |    | M       |     |            |     | M       |
| IE911              | CCN/CSI Exception notification                                        | C_EXC_NOT | M                                   | M       |                    |    | M       |     |            |     | M       |
| IE912              | Availability Matrix                                                   | C_AVL_MTX | M                                   |         |                    |    |         | M   |            | M   |         |

<sup>1</sup> Member States are free to allow the sending of ENS by an Office of Lodgement to an Office of First Entry, and the reception by the Office of First Entry of an ENS sent by an Office of Lodgement. It is only in the case that 'Office of Lodgement' services are offered that this IE is **Mandatory**.

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| <b>APPENDIX A2: MANDATORY AND STRONGLY RECOMMENDED MESSAGES</b> |                                     |

| IE    | Name                         | Reference | National Import Control Application |         |                    |    |         |     |            |     |         |
|-------|------------------------------|-----------|-------------------------------------|---------|--------------------|----|---------|-----|------------|-----|---------|
|       |                              |           | Send                                | Receive | Exchange Mechanism |    |         |     | EDI Format |     |         |
|       |                              |           |                                     |         | Paper              | MS | CCN/CSI | Web | EDIFACT    | XML | CCN/CSI |
| IE913 | Upload parsing response      | C_UPL_RSP |                                     | M       |                    |    | M       | M   |            | M   |         |
| IE914 | COL Request                  | C_COL_REQ | M                                   |         |                    |    | M       |     |            | M   |         |
| IE916 | Common RD request            | C_REF_REQ | M                                   |         |                    |    | M       |     |            | M   |         |
| IE917 | XML NACK                     | C_XML_NCK | M                                   | M       |                    |    | M       |     |            | M   |         |
| IE931 | COL data                     | C_COL_DAT |                                     | M       |                    |    | M       | M   |            | M   |         |
| IE932 | Common RD data               | C_REF_DAT |                                     | M       |                    |    | M       | M   |            | M   |         |
| IE971 | Full unavailability schedule | C_UNA_DAT |                                     | M       |                    |    |         | M   |            | M   |         |

Please note that, for all these exchanges, the EDI format and the transport mechanism are predefined and unique, except for the following:

- The exchange of IE30, IE31, IE32 and IE913 can take place across CCN/CSI and the Inter(extra)net. For both cases the XML format is supported by the central reference tools for ICS;
- IE913 will always be in the same format (XML) as the message they relate to;
- The produced IE412 messages are available for download via the CS/MIS Web interface in any of the following formats: XML, XLS, HTML or TXT.

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## STRONGLY RECOMMENDED MESSAGES

| IE    | Name              | Reference | National Import Control Application |         |                    |    |         |     |            |     |         |  |
|-------|-------------------|-----------|-------------------------------------|---------|--------------------|----|---------|-----|------------|-----|---------|--|
|       |                   |           | Send                                | Receive | Exchange Mechanism |    |         |     | EDI Format |     |         |  |
|       |                   |           |                                     |         | Paper              | MS | CCN/CSI | Web | EDIFACT    | XML | CCN/CSI |  |
| IE301 | ENS <sup>23</sup> | C_ENS_SND | SR                                  | SR      |                    |    | SR      |     |            |     | M       |  |

For all the messages marked with “Y” in column MS, the transport mechanism needs to be defined by the MS. The usage of XML is (strongly) recommended for all these messages.

Please note that for IE931 and IE932 messages, only XML format is supported for both Inter(Extra)net and CCN/CSI exchanges.

<sup>2</sup> The IE301 message is mandatory ‘M’ for Member States that allow the ENS to be lodged at an Office of Lodgement located in a different Member State than the Member State that the Office of first Entry is located.

<sup>3</sup> The IE301 message is mandatory ‘M’ for Member States that allow the ENS to be received from an Office of Lodgement located in a different Member State than the Member State that the Office of first Entry is located.